

AUGUST 10, 2001

Sheet 1 of 1

Form PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
230074/0228SERIAL NO.  
09/648,720**SUPPLEMENTAL  
INFORMATION DISCLOSURE CITATION**

APPLICANT

Redding et al.

FILING DATE

August 25, 2000

GROUP ART UNIT

2151

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
DBB		5,138,712	8/11/92	Corbin, John R.			
DBB		5,745,879	4/28/98	Wyman, Robert M.			
DBB		5,790,664	8/04/98	Coley et al.			
DBB		5,862,348	1/19/99	Pedersen, Bradley J.			

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
DBB		2,316,503	8/22/96	UK				

**OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)**

DBB		PCT International Search Report as issued in International Application Number PCT/US00/24359, MAILING DATE 08/02/2001

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	<b>APPLICANT</b>  Mark E. Redding, et al.	
	<b>FILING DATE</b>  08/25/2000	<b>GROUP ART UNIT</b>  2151

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
DBB	1	4,652,990	03/24/87	Pailen et al.			
	2	4,658,093	04/14/87	Hellman			
	3	4,685,055	08/04/87	Thomas			
	4	4,754,395	06/28/88	Weisshaar et al.			
	5	4,780,821	10/25/88	Crossley			
	6	4,791,565	12/13/88	Dunham et al.			
	7	4,796,220	01/03/89	Wolfe			
	8	5,146,575	09/08/92	Nolan, Jr.			
DBB	9	5,390,297	02/14/95	Barber et al.			

**FOREIGN PATENT DOCUMENTS**

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							YES	NO

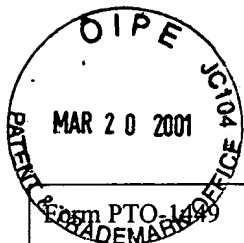
**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

DBB	10	PC Week, "Strength in Numbers", Vol. V3, July 1986, p.57.					
	11	Digital Review, "Apollo Licenses Concurrent Users on Entire Network", Vol. 4, No. 20, October 26, 1987, p.6.					
	12	IBM Technical Disclosure Bulletin, "Software Serial Number", Vol. 26, No. 7B, December 1983, pp. 3918-3919.					
	13	Chovan, J., "Handling Site-License Agreements and Public Domain Software Packages: What are the Issues?", ACM SIGUCCS Conference, Toledo, Ohio, Sep. 30-Oct. 4, 1985, pp. 175-179.					
	14	Brown, B., "Microcomputer Software Exchange at Michigan State University", ACM SIGUCCS Conference, Toledo, Ohio, Sep. 30-Oct. 4, 1985, pp. 185-197.					
DBB	15	Halaris, A., Sloan, L., "Networked Microcomputers: New Challenges For Computing Center Management", SIGUCCS Newsletter, Vol. 13, No. 4, Winter 1983, pp. 20-26.					

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*[Signature]* 11/15/2003

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<b>Form PTO-100 (MODIFIED)</b> <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	<b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DOCKET NO.</b> 230074.0228	<b>SERIAL NO.</b> 09/648,720
	<b>APPLICANT</b> Mark E. Redding, et al.		
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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DBB	16	Gerber, H., Pringle, G., "Instructional Computing and Local Area Networking", SIGUCCS Newsletter, Vol. 17, No. 2, Summer 1987, pp. 8-13.						
	17	Kreutzer, A., "An On-Line System For Controlling and Monitoring Software Usage in a Microcomputer Laboratory", SIGUCCS Newsletter, Vol. 18, No. 2, Summer 1988, pp. 29-32.						
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	22	Rifkin, A. et al, "RFS Structural Overview", USENIX Summer Conference Proceedings, Atlanta, Ga., June 9-13, 1986, pp. 248-259.						
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	26	The DEC Professional, "PACS Plus Relief for VAX/VMS Resource Management Headaches", February 1986, pp. 58-62, 64.						
DBB	27	Software News, "LAN Applications Prices Are Not Easy to Set", April 1987, pp. 54, 56, 58.						

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## U.S. PATENT DOCUMENTS

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							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DBB	28	SIGUCCS Newsletter, "Licensing of Microcomputer Software", Vol. 15, No. 4, Winter 1985, pp. 9-12.						
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	31	Leiss, E., "On Authorization Systems with Grantor-Controlled Propagation of Privileges", CompCon 83, San Francisco, CA, Feb. 28-Mar. 3, 1983, pp. 499-502.						
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DBB	38	Rainbow Technologies, "Sentinel License Manager - Integrator's Guide for NetWare - Release 5.0", 1997, pp. i-vi to IN-10.						

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## U.S. PATENT DOCUMENTS

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DBB	39	Rainbow Technologies, "Sentinel License Manager - System Administrator's Guide for NetWare - Release 5.0", 1997, pp. i-1 to IN3.						
	40	Rainbow Technologies, "Sentinel License Manager - Reference Manual - Release 5.0", 1997, pp. i-1 to IN12.						
	41	Rainbow Technologies, "Sentinel License Manager - Integrator's Guide for UNIX - Release 5.0", 1997, pp. i-1 to IN10.						
	42	Rainbow Technologies, "Sentinel License Manager - System Administrator's Guide for INIX - 5.0", 1997, pp. i-1 to IN4.						
	43	Rainbow Technologies, "Sentinel License Manager - Integrator's Guide for Windows TCP/IP - Release 5.0", 1997, pp. i-1 to IN12.						
	44	Rainbow Technologies, "Sentinel License Manager - System Administrator's Guide for Windows TCP/IP - Release 5.0", 1997, pp. i-1 to IN3.						
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	46	Rainbow Technologies, "Sentinel License Manager - Programmer's Reference Manual - Release 6.0", 1998, pp. i to 187.						
	47	Rainbow Technologies, "Sentinel License Manager - System Administrator's Guide - Release 6.0", 1998, pp. i to 62.						
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<b>PTO FORM 449</b> (MODIFIED)  <b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)	<b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DOCKET NO.</b>  230074.0228	<b>SERIAL NO.</b>  09/648,720
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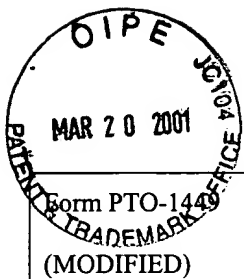
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	57	Rainbow Technologies, "Notice for SentinelLM UNIX Customers: Installing from CD", February 26, 1999, pp. 1, 2.						
	58	Rainbow Technologies, "SentinelLM License Management System, Release 6.2, Release Notes, February 12, 1999, pp. 1-11.						
↓	59	Rainbow Technologies, "Notice for SentinelLM 6.1 UNIX Tandem Customers", February 16, 1999, pp. 1, 2.						
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## U.S. PATENT DOCUMENTS

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DBB	61	Elan Computer Group, "Comparing Elan License Manager with other software license managers", White Paper, 6/15/95, pp. 1-5.						
	62	Elan Computer Group, "Elan License Manager, Questions and Answers", 8/95, G 0002556-0002562, pp. 1-6.						
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